

**MIDDLEMEN - THE PROBLEM
WITH TODAY'S GLOBAL
FINANCIAL SYSTEM**

**DEFI - TRANSFORMING THE
WAY THE GLOBAL FINANCIAL
SYSTEM OPERATES**



ProphecyDeFi

A SMART WAY TO INVEST IN THE REVOLUTIONARY WORLD OF DECENTRALIZED FINANCE

CSE:PDFI

**“ BLOCKCHAIN
IS EATING
WALL STREET ”**

ALEX TAPSCOTT
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**LAYER2 - GENERATING
OUTSIZED RETURNS BY
ENABLING DEFI'S FUTURE**

Blockchain technology insight
provides unique edge

A Simple Way To Invest In The Global Financial System Of The Future

Getting exposure to truly cutting-edge trends can be difficult.

To really push boundaries, a company needs the correct vision, the right connections, and expertise in overcoming barriers to entry.

Of course, it also takes financial backing. For a business to succeed in an industry that's still relatively experimental, it needs cash in the bank to see through any challenges that arise.

Today, you'd be hard-pressed to find an area where all of these factors are more relevant than decentralized finance.

As you'll discover in this special report, '*DeFi*'—as this industry is often referred to—has rapidly emerged as one of the most transformative trends since the dawn of the Internet itself.

That's no exaggeration, either. In fact, the groundwork being laid right now could easily become the frame for an entirely new and improved global financial system.

As you may already know—and will learn more about here—there are many complex ideas associated with DeFi and the blockchain technology underpinning it.

But what it ultimately boils down to is making financial transactions simpler, safer, and more transparent.

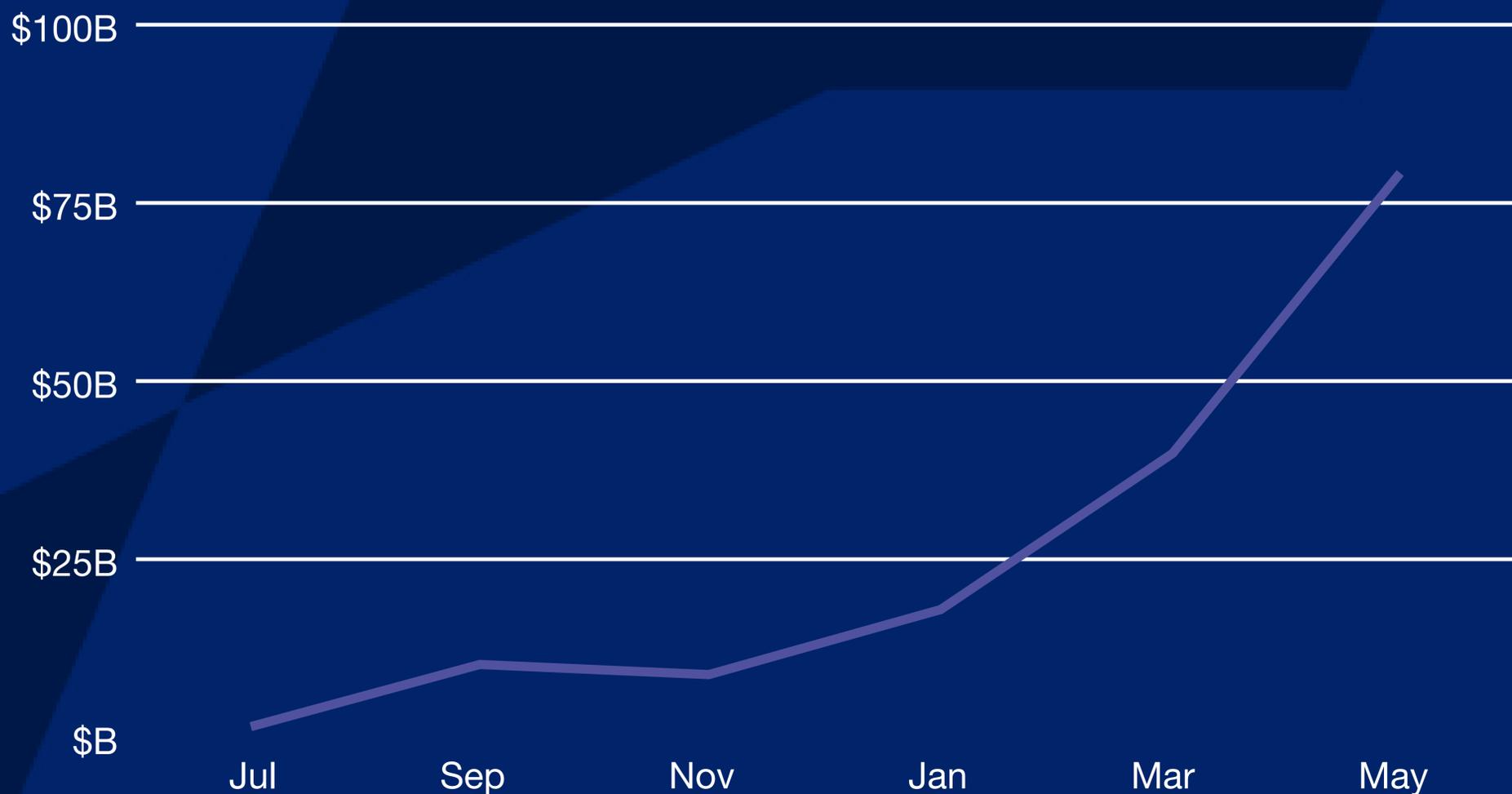
Of course, there's still a way to go yet. And—as DeFi is still at such an early stage—it's currently difficult for many investors to gain exposure, especially in a public way that provides the reassurance of regulation sought out by many.



Still, it's clear the market is growing quickly—in just 12 short months, the total value locked up in DeFi has grown from less than \$1 billion to more than \$60 billion.

Decentralized Finance 1 - Year TVL Growth

Total Value Locked (USD) in DeFi



Source: DeFi Pulse

Bear in mind, too, that this is just one underlying part of a general crypto-asset market now thought to be worth trillions.

The scope here is huge

And this is what makes Canadian investment firm **Prophecy DeFi** such an exciting investment proposition.

You see, **Prophecy DeFi** is one of those companies that has the vision. It has the connections. It has the expertise. And it has the financial backing, too.

In fact, as we'll explore together here, **Prophecy DeFi** has all the ingredients to become a dominant force in the emerging DeFi space.

Crucially, it also provides a rare opportunity for investors to gain exposure to the very cutting-edge of the DeFi market through a traditional and reliable public vehicle.

Make no mistake, DeFi—and the technology that's driving the industry—will impact and change the global financial system as we know it.

And today, Prophecy DeFi offers a quality solution for smart investors looking to get in on the action at the earliest stage possible.

Middlemen - The Problem With Today's Global Financial System

Truth is, in spite of extraordinary growth to date, the likelihood is that we are only at the *very beginning* of the DeFi revolution.

After all, what we could be looking at here is a complete reset of the current — centralized — financial system.

If that were to happen, then **Prophecy DeFi** could see itself riding high on an entirely new wave of wealth.

But why should finance be decentralized at all?

Well, the answer can be boiled down to one word: **middlemen**.

You see, the real problem with the current *centralized* financial system is that it's **slow**, **costly**, **inaccessible**, and increasingly open to **cyber-attack** and **fraud**.

The reason is that almost every transaction we currently make must go through numerous middlemen such as banks, brokerages, technology conglomerates, and even governments.

In short, middlemen perform all of the business logic currently needed to establish trust and keep our financial systems functioning — for example, contracting, record keeping, clearing, settling, and identifying and authenticating transacting parties.



Take a simple transaction like buying a coffee at Starbucks...

When you pay with your card, you might think that the money from your account moves to the merchant's before your coffee has even been handed over.

But it doesn't.

Instead, the transaction goes through several different parties, or middlemen—in this case, the merchant's acquiring bank, the customer's bank, the payment processor, the card network, and maybe even an international settlement system.

What's more, each of these middlemen takes up time, incurs high costs, and stores data.

You don't see any of this, but it's definitely happening.

More importantly—it's also very inefficient.

In fact, a simple, over-the-counter transaction can end up taking three days rather than three seconds and can cost a merchant up to 10% of the transaction's value.

DeFi looks to change that and, eventually, it could cut out these middlemen altogether.



We'll explore how in the next section, but it's important to understand that there is a simple and fundamental reason why DeFi is already gaining so much ground.

As with anything in life, when it becomes too cumbersome and inefficient, people tend to look for ways to streamline and improve using the latest technology available to them.



It happened with the first era of the internet, which entirely disrupted the ways in which we were *communicating*.

And, right now, it's happening with DeFi and the increasingly dated, centralized systems we are reliant on for transferring assets with value.

Indeed, smart people are now looking at the flaws in the current financial system and figuring out ways to fix them using blockchain and crypto technology.

***In turn,
Prophecy DeFi
is helping
those same
smart people
by providing
funding,
connections,
and support.***

DeFi - Transforming The Way The Global Financial System Operates

To understand more about how DeFi could change the way the financial system works, let's look again at that transaction in Starbucks...

You have your money. Starbucks has the coffee. But to pay for it, you have to send your cash through various unseen middlemen.

Part of the reason your transaction has to do this is that it needs to be checked off against a centralized record to authenticate your identity and that of the seller.

Not only that, but the record is also used to prove that the \$5 you're spending on your Starbucks order is unique, and not a copy that could have been spent elsewhere.

It's known as the double-spending problem and, for decades now, the only solution has been a *centralized* financial system—everything ultimately has to go through the same record regardless of how many stages are involved.

It makes sense, of course, but—as mentioned—it also adds time, cost, and security risks.

Here's the thing, though...

The blockchain technology that underpins DeFi can remove this need for centralization in the financial system.

Understanding the technology itself can get a little too complex. But essentially, the way blockchains work means that rather than the data being stored in any single 'central' place, it's spread out across a network of user computers. And this data is a '*chain*' of blocks that records all of the digital transactions.

Ultimately, this setup is much less at risk of being hacked or compromised because no one entity can just access a central record and alter it. Thus, it is impossible to change, hack, or cheat the system.

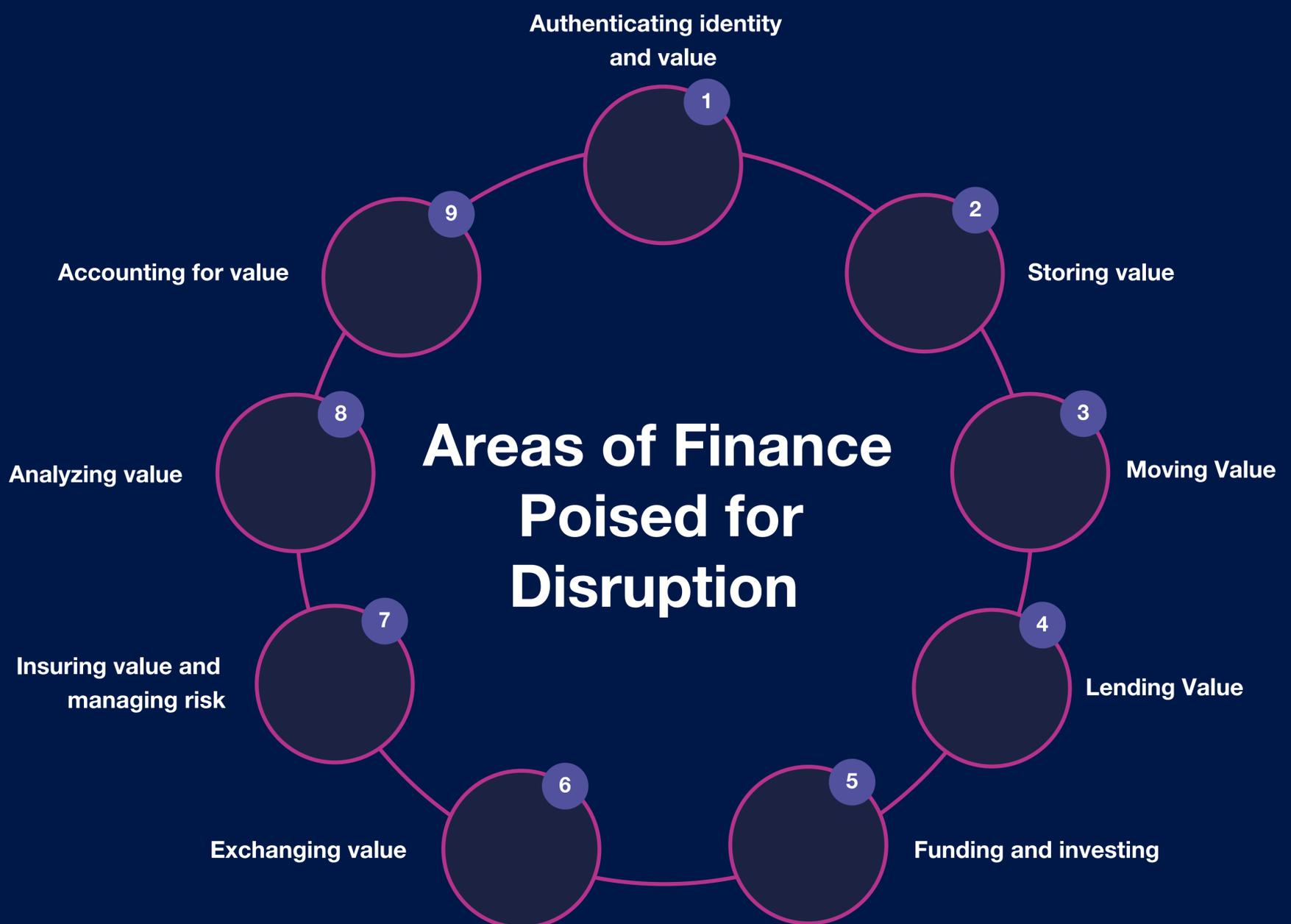
But other than that, it cuts out the middlemen—and the extra costs and friction they incur—entirely so individuals can transact with each other very simply anywhere worldwide.

Buying a coffee is one relatively small example, but the exciting reality is that the potential applications here are much, much broader.

In fact, the principles of DeFi could disrupt almost any financial transaction you can think of—everything from simple purchases to complex trades, or from a straight-forward loan to a non-typical investment vehicle or even a stock market.

Indeed, one of the reasons why the industry is growing so quickly already is that people are now beginning to see just how widely this technology can be used.

And given the global financial system is collectively responsible for trillions of dollars of economic output *every single year*, the scale of the opportunity here is clear.



With permission from The Blockchain Research Institute, 2021

Yet, the technology underlying DeFi is still undeniably difficult for many to get their heads around, and for many, this remains a barrier to entry.

In fact, because the technology is so new and moving and developing so quickly, even when many think they have a handle on it there can be a quick change or a new discovery and everything seems to shift again.

It's a challenge.

And, other than making it difficult for everyday investors to get exposure, it's also why there are currently so few publicly-listed issuers of quality operating in the space.

But, as you'll see in the next section, this is what makes **Prophecy DeFi** such an exciting proposition for investors who realize the scope of this market but are worried about exposing themselves directly to unregulated risk and overly complex tech firms.



Prophecy DeFi - At The Vanguard Of The New Financial Revolution

 It's clear by now that the DeFi trend is massive. Indeed, disrupting the global financial system from the ground up is the sort of opportunity most of us will only ever encounter once or twice in our lives—if that.

Spotting such a prospect in its early stages is even rarer.

Yet that is exactly what is presenting itself here, and **Prophecy DeFi** is offering smart investors the chance to capitalize right now in a publicly listed vehicle.

You see, this company entered the DeFi space earlier this year with one clear goal in mind: **becoming the Goldman Sachs of the new financial economy.**

It sounds so ambitious that you may have even done a double-take.



But here's the thing...

Thanks to the way **Prophecy DeFi** has set itself up, it's also perfectly achievable.

The reality is, the leaders of the current global financial system will not be the same ones who lead the next one.

Instead, it will be the companies who were there from the start, consolidating a large position and driving forward innovation on the ground floor.

And by establishing itself as an investment issuer with the goal of bringing together blockchain and DeFi start-ups to fund innovation, elevate industry research, and create new business opportunities in a coherent ecosystem...

That's exactly what **Prophecy DeFi** is doing.

Even more impressive is that, in just a few short months, the company has taken huge steps to overcome all barriers to entry and begin to deliver on its plans...

1. Prophecy DeFi has secured unparalleled access to DeFi investment opportunities

Prophecy DeFi knew straight away that securing access to the most exciting acquisition and investment opportunities possible would be essential to creating a leading DeFi ecosystem.

That's why it immediately moved to establish a service agreement with Ninepoint Partners—one of Canada's largest independent asset management firms.



Through its Digital Asset Group division, Ninepoint directly advises **Prophecy DeFi** and introduces it to the very best DeFi start-ups.

And at the helm is an individual named [Alex Tapscott](#). Tapscott is perhaps the world's foremost authority when it comes to the transformational potential of blockchain and DeFi.

In fact, he even co-authored *Blockchain Revolution*—the de facto bible of the crypto world, which has been translated into more than 15 languages and sold more than 500,000 copies worldwide.

Tapscott has come in as chairman of **Prophecy DeFi's** newly-established Advisory Committee. And here, he will bring together members of the DeFi world to advise the company on market and industry trends and business development opportunities.

Not only that, but Tapscott is also a co-founder of the Blockchain Research Institute, which **Prophecy DeFi** has also now joined.

The membership of the Blockchain Research Institute consists of Fortune 500 companies and opens up the door to a research library of 100+ projects and a global community of blockchain innovators, experts, builders, and thought leaders.



Alex Tapscott
Chairman of Prophecy DeFi's
Advisory Committee

Other Blockchain Research Institute Members



Bottom line is, if there's an exciting start-up making waves and driving innovation in the world of blockchain and DeFi...

Prophecy DeFi has now given itself the very best chance possible of bringing into its own ecosystem and sharing in its upside.

But that's not all...

2. Prophecy DeFi is also able to give its DeFi investments the greatest possible chance of success

Ensuring access to the world's preeminent crypto experts and the best investment opportunities on the market is certainly key to **Prophecy DeFi's** goals.

But it's only half the battle.

After all, to lead the DeFi revolution, **Prophecy DeFi** must also ensure that its investments have the best chance of success possible.

Excitingly, this is another area where the company excels.

First-of-all, **Prophecy DeFi** is positioned in the right vehicle.

A current problem for many DeFi start-ups is that, regardless of how exciting a model they present, they are not currently regulated.

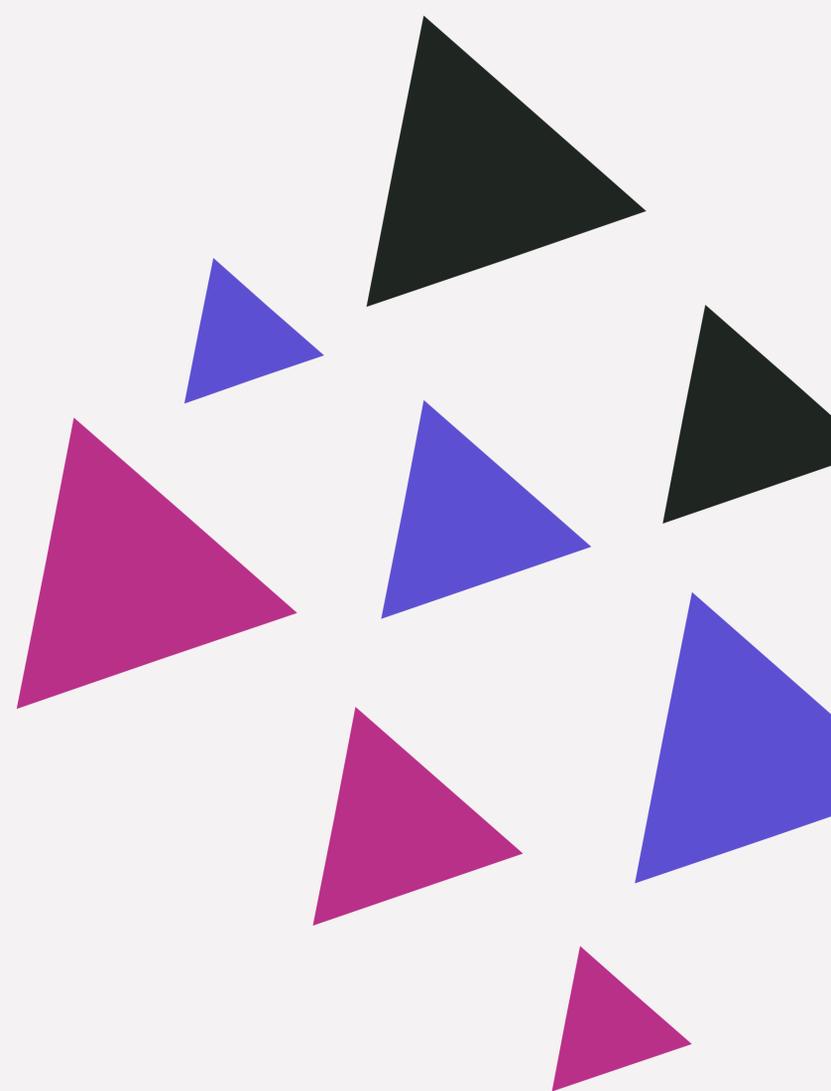
The backing of a publicly-listed vehicle like **Prophecy DeFi**, which operates to the market's strict regulatory requirements, can therefore rapidly accelerate their growth.

Second, the firm is extremely well funded

This is important not only because start-ups often require significant funding to establish themselves and put their vision in motion...

But also because, when a market is in its earliest stages like DeFi, enormous returns can be made by deploying capital into the right opportunities.

Prophecy DeFi understands this, and that's why it has ensured its *DeFi expertise* is matched by its *market expertise*.



The Prophecy DeFi Management

John McMahon CEO

John is an investment banking veteran who has held senior roles at Mackie Research Capital and Industrial Alliance Securities. And after spotting the DeFi trend in its nascent stages, he assembled a multi-disciplinary executive team and board to complement his own extensive capital markets experience.

Charlie Morris Director

Charlie is managing partner of CMCC Global, an asset manager focused solely on digital assets with more than US\$300 million under management

Stuart Hensman Director

Mr. Hensman brings a wealth of knowledge from diversified industries, having over 40 years of experience in the financial services industry. Mr. Hensman has previously occupied the position of Chairman & Chief Executive Officer for Scotia Capital Inc. (USA), Managing Director (Equities) for Scotia Capital Inc. (United Kingdom), Chairman of the Board of Governors at CI Funds, Chairman of Board of Creststreet Asset Management and Chairman of the Board of Creststreet Power and Income Fund.



Tim Diamond

Director

Tim brings over 30 years of entrepreneurial and managerial experience.



Roland Nimmo

CFO

Mr. Roland Nimmo serves as the CFO of Prophecy DeFi. He has over 35 years of experience in both public and private companies in Canada and throughout the globe. He has provided financial leadership to some of Canada's most successful Manufacturing and Real Estate organizations including Magna International and was a Partner with Arthur Andersen and Deloitte. He is a Chartered Accountant (CPA) with a Bachelor of Arts (Honours) in Economics from Queen's University.



Cameron Day

Vice President

Mr. Cameron Day is Vice President of Prophecy DeFi. He is involved in all aspects of the Firm's activities, including: analyzing potential investment opportunities, executing transactions and working with portfolio companies to develop and implement value-enhancing initiatives. Cameron was raised in Toronto. He graduated with a Bachelor of Arts (Honours) in Economics and a Master of Finance from Queen's University and is a CFA level 2 candidate.

Mr. McMahon's team has already led three corporate financings, which has raised \$5.75 million for **Prophecy DeFi**. And they have just now begun to deploy this capital into the DeFi world in an extremely exciting way...

Layer2 - Generating Outsized Returns By Enabling DeFi's Future

In July, **Prophecy DeFi** announced that it had acquired 60% of Layer2 Blockchain, with plans to buy the remaining 40% over the coming months.

And as far as first deals with the intent of establishing a leading DeFi ecosystem go...

This one could hardly have been better.

Fact is, through its acquisition of Layer 2, **Prophecy DeFi** brings in a team who—like Tapscott—are leading forces in this growing, complex sector.

Indeed, CEO **Andrew Young**, COO **Jake Hannah**, and CTO **Julian Wilson** were there when DeFi emerged and have been driving it forward ever since.

Together, using the years of expertise they built up in crypto, the trio developed SX Network—one of the first DeFi prediction market and blockchain protocols.

Since then, they have gone on to publish research reports and build networks of smart contracts facilitating tens of millions of dollars worth of DeFi transactions.

Naturally, this experience and expertise affords Layer2's team an analytical edge and exclusive access to early-stage projects in the DeFi market.

Now, the company is leveraging these advantages to establish a leading position and generate outsized returns.

Specifically, Layer2—as its name would suggest—is focusing on capitalizing on opportunities in the growing area of Layer Two Blockchains.



To understand this area in great detail runs the risk of very quickly becoming too complex. But in relatively simple terms...

Nearly all DeFi adoption to date has taken place on a blockchain known as Ethereum. However, as DeFi has rapidly exploded in popularity, the limited number of transactions per second that Ethereum can handle has led the cost of using it to increase 20 times over. This, in turn, has prompted a wave of new, Layer Two, blockchains to emerge that are scalable and, in turn, more affordable.

Problem is, as it stands, the emergence of so many new blockchains has created some teething issues. It's here that Layer2's team sees a number of opportunities to generate outsized returns:

1

Liquidity provisioning and market-making

Layer2 is deploying capital into newly-formed automated market-making strategies (AMMs) on Layer Two blockchains to increase their liquidity. In exchange, it is not only rewarded with annualized yields of up to 100%, but also tokens that effectively act as equity stakes in these newly-formed AMMs.

Of course, you may—quite rightly—be asking yourself why more people aren't doing this. Once again, the reality is that few have the ability or access to do so like Layer2's team.

2

Cross-chain protocol arbitrage

As it stands, there's typically an imbalance between the capital supply and the capital that is borrowed on newly-formed DeFi lending protocols.

Layer2 is capitalizing with cross-chain and cross DeFi application arbitrage that can generate opportunistic risk-free returns of up to 40% and tokens in a market with a total addressable size of up to \$100 million.

3

Network staking, validating, and infrastructure

This sees Layer2 use its expertise to perform critical services such as securing new blockchain networks, providing data validation services to DeFi protocols, and providing bridging services between Ethereum and new blockchains.

Though these services typically offer less of a chance of outsized returns, the scale of opportunity now that the company has the power of **Prophecy DeFi** behind it is enormous. Indeed, the total addressable market here is thought to be more than \$10 billion.

If this all sounds a little complicated, don't worry.

The bottom line is, Layer2 is using its access and expertise to take advantage of a wide range of exclusive opportunities emerging in the new era of the DeFi space. In return, it's rewarded not only with outsized returns, but also equity positions that could become extremely valuable as the market booms.

Now, with the capital markets access provided by **Prophecy DeFi**, Layer2 is able to capitalize on these opportunities like never before.

Layer2 Business Segments

	Liquidity Provision	Lending Arbitrage	Staking Services
Description	<ul style="list-style-type: none"> Provide liquidity to layer two-based automated market makers and decentralized lending pools in return for a variable yields. Capital intensive but annualized returns of 50-100% are possible Revenue from yield and minting of protocol tokens ("yield farming"). 	<ul style="list-style-type: none"> Exploit systematic cross-chain differences in crypto asset supply and borrow yields to generate outsized, risk-free returns. Opportunistic, risk-free returns of 20-40% often available Revenue from yield differentials and minting of protocol tokens. 	<ul style="list-style-type: none"> Contribute to the consensus of proof-of-stake based crypto networks by acquiring and maintaining a portfolio of staking nodes Can also operate staking-as-a-service and custody platform to token holders for management fees Revenue through minting of coins
Example Projects			
Total Addressable Market Size	\$25,000,000-\$50,000,000	\$50,000,000 to \$100,000,000	+\$10,000,000,000
Costs to Operate	Very low (<\$5,000/month)	Medium (up to 80% of revenue)	Up-front set-up cost but low maintenance (<\$5,000/month)

Those Who Act Now Will Be The Biggest Winners When DeFi Goes Mainstream

There's little doubt: decentralized finance will become a major influence in the global financial system.

Whether it replaces what already exists completely or whether it is integrated into the current system in some way...

There is no doubt the technology driving this is here to stay.

The simple fact is, as more and more players enter the DeFi industry, and as the blockchain technology becomes that bit easier to understand, money will likely flow into the space at an even greater rate than it is already.

Remember, a lot of what is going on in this space simply didn't exist just twelve months ago.

Yet, in that time, the market has already grown to be worth over \$60 billion.

And it seems to be going in only one direction right now.

That said, such a new market means many unknowns. And it undeniably means a much greater level of risk, too. Indeed, it's the exposure to such risk that's clearly acting as a barrier to entry for many investors. At least for now.

But this is why Prophecy DeFi represents such an exciting proposition.

It is one of the few companies—if not the only one—working exclusively in the space that gives investors exposure to this exciting market, while still offering the reassurances of regulation and a position you can add to your portfolio like any other.

In many ways, this is what makes **Prophecy DeFi** so unique.

Indeed, as we've seen, it's the combination of CEO John A. McMahon's vast experience in traditional banking and finance and the understanding of blockchain technology that people like Alex Tapscott and Andrew Young have that puts **Prophecy DeFi** in pole position to lead the way in this industry.

Don't forget, the work Young and his own company Layer2 are doing right now is at the very cutting-edge of the DeFi space. They are true pioneers, helping to form the very rules by which the industry is being defined.

Of course, when we talk about the potential for a company like **Prophecy DeFi** to become the Goldman Sachs of the new financial industry, it can sound a little lofty, or even hyperbolic.

But the fact remains that if the industry continues the trajectory we're seeing, then it will indeed be companies like **Prophecy DeFi**—who leverage the advantage of being first movers—that claim the largest parts of the market and do indeed make themselves leaders.

So, look...

The bottom line here is that DeFi is growing...

...and it's growing fast.

Smart investors will be quick to recognize this, and they will be just as quick to see **Prophecy DeFi** as a sound and, frankly, extremely exciting way of gaining exposure.

Those who act now at this early stage could be the ones who see the biggest rewards.

Six Reasons To Invest In Prophecy DeFi Today

1

An **early mover** in the Decentralized Finance market, which is disrupting financial systems representing trillions of dollars of economic output from the ground up.

2

Expert management team and advisory committee, with **unparalleled experience** in DeFi as well as many more traditional areas of the markets.

3

Strong balance sheet following three recent funding rounds.

4

Recently acquired Layer2 Blockchain—a high-quality tech firm generating **outsized returns** by deploying capital into the DeFi space.

5

One of the **only ways** for investors to get **exposure** to the DeFi trend on the public markets.

6

Strong position in **rapidly-growing market** offers an opportunity for significant long-term valuation increase.



ProphecyDeFi

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